



GAU 1643

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2/3/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kenten et al.

Application No.: 09/374,721

Filed: August 13, 1999

Examiner: Not Assigned

Art Unit: 1643

Title: HEAT SHOCK FUSION-BASED VACCINE SYSTEM

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### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 1/25/01  
FARRELL & ASSOCIATES, P.C.

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or

(Filed within three months of filing national application; within three months of date of entry of national stage of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either:

☒ a Certification under 37 CFR 1.97(e), or

☐ a \$240.00 fee under 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final action or notice of allowance)

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

- [ ] under 37 CFR 1.97(d) together with:  
[ ] a Certification under 37 CFR 1.97(e), and  
[ ] a petition under 37 CFR 1.97(d)(2)(ii), and  
[ ] a petition fee set forth in 37 CFR 1.17(i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Method of Fee Payment:

- [ ] Enclosed is a check in the amount of \$\_\_\_\_\_.
- [ ] Please charge Deposit Account 06-0130 in the amount of \$\_\_\_\_\_.
- [ ] Two duplicate copies of this Statement are enclosed.
- [ ] Please charge any deficiency in fees and credit any overpayment to Deposit Account 06-0130.

Enclosed herewith is form PTO-1449:

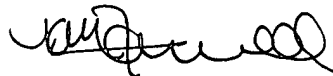
- [ ] Copies of the cited references are enclosed.
- [X] Copies of cited references are enclosed except those of record in prior applications, U.S. Serial Nos. 09/026,276 and 09/374,721, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- [ ] The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
- [ ] the explanation provided on the attached sheet.
- [ ] the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



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Registration No. 35,505  
(207) 363-0558

York Harbor, ME  
Dated: 1/21/01  
IGN\CUR\2004SUP1.IDS

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:  
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09/374,721**RECEIVED**  
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TECH CENTER 18002800LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)

APPLICANT: Kenten et al.

FILING DATE:  
August 13, 1999

GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AE	5,646,017	8-Jul-97	Bachmair et al.			
	AF	5,851,791	22-Dec-98	Vierstra et al.			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AA2						
	AB2						
	AC2						
	AD2						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
	AM	WO 94/29459	22-Dec-94	WO				
22	AN	WO 95/05849	2-Mar-95	WO	—	—		
	AL2	WO 97/01627	16-Jan-97	WO				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AX	Lussow et al., Euro. J. of Immuno. 21: 2297-2302 (1991).
22	AY	van der Zee et al., Vaccine 13: 753-758 (1995).
	AZ	Johnsson and Varshavsky, Proc. Natl. Acad. Sci. USA 91: 10340-10344 (1994).

EXAMINER

DATE CONSIDERED


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APPLICANT: Kenten et al.

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR2	Dalum et al., "Breaking of the autotolerance towards the highly conserved protein ubiquitin", J. Cell. Biochem. (1995) Supplement 21A (Keystone Symposia on Molecular & Cellular Biology March 10 to April 4, 1995) p. 138, Abstract C2-415.
AS2	
AT2	
AU2	
AV2	
AW2	
AX2	
AY2	
AZ2	
AR3	
EXAMINER	DATE CONSIDERED